# Robustness Radius for Chamberlin-Courant on Restricted Domains

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Presented by: Prof. Henning Fernau

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  - 1 Complete strict orderings
  - **2** Approval ballots ((m)-length binary vector)

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  - **1** Complete strict orderings
  - **2** Approval ballots ((*m*)-length binary vector)
- Let  $C \to \text{set of } m$  candidates,  $V \to \text{set of all voters and} \mathcal{L}(C) \to \text{set of all preferences over } C$ . A multiwinner committee rule  $\mathcal{R}$  is *function* s.t.,

$$f: (\mathcal{L}(C)^n, k) \to \mathcal{R}(E, k)$$

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where *E* represents election E = (C, V) and  $\mathcal{R}(E, k)$  is the family of *k*-sized subsets of *C*.

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For this work, we consider *Chamberlin Courant* voting rule.

#### Example: Finding a collection of movies to include in Airplane

$$n = 4, m = 5, k = 2$$





#### Figure: Approval Ballots

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<sup>1</sup>Piotr Faliszewski: rapc-session-3-committees.pptx

**Definition:** For multiwinner voting rule  $\mathcal{R}$ , and input E = (C, V), a committee k and an integer r, we ask if it is possible to obtain an election E' by making at most r swaps of adjacent candidates within rankings of E (or change at most k-bits for the case of approval ballots) s.t.  $\mathcal{R}(E, k) \neq \mathcal{R}(E, k)$ 

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• YES 
$$\rightarrow RR \leqslant r$$

• NO  $\rightarrow RR > r$ 

#### Related Work

 'Robustness Among Multiwinner Voting Rules' [SAGT'18]<sup>2</sup> – First defined the concept of RR

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- We consider the exact algorithms for hard instances and ask the question on restricted domain for **CC** rule

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### Similar Notions

 RR captures the impact of small changes in the input preferences on the set of the winning committees for given voting rule.

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- Swap Bribery Also cares about the outcome after the change in profile <sup>3</sup>.

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$$l_1 = \sum_{i=1,\dots,n} \alpha(\operatorname{pos}_{v_i}(\Phi(v_i))), \text{ and }$$

 $\ell_{\infty}(\Phi) = \max_{i=1,\dots,n} \alpha(\operatorname{pos}_{v_i}(\Phi(v_i)))$ 

# Chamberlin Courant Example:



Consider the committee:



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(considering  $\ell_1$  dis-satisfaction) Note: Figure is taken from  $[1]^4$ 

<sup>&</sup>lt;sup>4</sup>Piotr Faliszewski: rapc-session-3-committees.ppt×

#### Chamberlin Courant-rule

For given dissatisfaction function  $(\alpha)$  and aggregation function  $(\ell)$ , the  $\alpha$ - $\ell$ -CC voting rule is a mapping that takes an election E = (C, V) and a positive integer k with  $k \leq |C|$  as its input, and returns a k-CC-assignment function  $\Phi$  for E that minimizes  $\ell(\Phi)$ . <sup>5</sup>

<sup>&</sup>lt;sup>5</sup>Chamberlin & Courant: Representative deliberations and representative decisions

<sup>&</sup>lt;sup>6</sup>Betzler, Slinko & Uhlmann: On the Computation of Fully Proportional Representation 🕢 🚊 🔷 🖓

### Parameterized Complexity

Parameterized problem is denoted as  $(Q, k) \subseteq \Sigma^* \times \mathbb{N}$ where Q is a classical language and k is the parameter

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 FPT → if ∃ algorithm that decides in time O(f(k)n<sup>O(1)</sup>)

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- FPT  $\rightarrow$  if  $\exists$  algorithm that decides in time  $\mathcal{O}(f(k)n^{\mathcal{O}(1)})$

• XP  $\rightarrow$  if  $\exists$  algorithm that decides in time  $\mathcal{O}(n^{f(k)})$ 

# Results Summary

Note: All results are parameterized by parameter k- the size of the committee.

- For general profiles:
  - 1 RR is W[2]-hard for Approval Chamberlin-Courant.
  - **2** XP algorithm for determining RR for *complete rankings/ approval ballots.*

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- For general profiles:
  - 1 RR is W[2]-hard for Approval Chamberlin-Courant.
  - **2 XP** algorithm for determining **RR** for *complete rankings/ approval ballots.*
- On nearly restricted domain:
  - **1 RR** is **NP**-hard for  $\ell_1 CC$  even for *6-crossing* domains.
  - **2 RR** is **NP**-hard for  $\ell_{\infty} CC$  even for 4-crossing domains.
  - **3 RR** is **NP**-hard for  $\ell_{\infty} CC$  even for 4-composite SP domains.

## Hardness for Approval Ballots

#### Theorem

Checking if RR=1 for Approval Chamberlin Courant is W[2] hard parameterized by size of committee (k).

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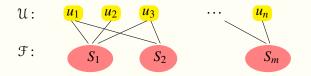
Reduction Hitting Set instance.











 $\forall S_i \in \mathcal{F}; S_i \subseteq \mathcal{U}$ Given k, does there exist  $S \subseteq U$  s.t.  $|S \cap S_i| \neq \phi \& |S| \leq k$ 

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```
\mathcal{U} = \{1, 2, 3, 4\}
\mathcal{F} = \{(1, 2, 3), (1, 2), (3, 4), (2, 4), (1, 4)\}
k = 1
```

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NO instance

 $\mathcal{U} = \{1, 2, 3, 4\}$  $\mathcal{F} = \{(1, 2, 3), (1, 2), (3, 4), (2, 4), (1, 4)\}$ k = 2 $S = \{1, 2\}$ 

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#### Hitting Set Instance:

 $\begin{aligned} &\mathcal{U} = \{1, 2, 3, 4\} \\ &\mathcal{F} = \{(1, 2, 3), (1, 2), (3, 4), (2, 4), (1, 4)\} \\ &|\mathcal{U}| = n \\ &k = 2 \text{ (size of the hitting set)} \end{aligned}$ 

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## RR Instance for Approval Voting Rule:

$$k' = k \text{ (Committee size)} \\ \mathcal{A} := \underbrace{\{c_1, c_2, \dots, c_n\}}_{\mathcal{U}} \cup \underbrace{\{d_1, d_2, \dots, d_k\}}_{\mathcal{D}}$$

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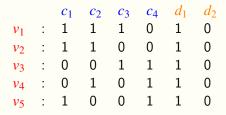
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## Construction

Hitting Set Instance:  $\mathcal{U} = \{1, 2, 3, 4\}$   $\mathcal{F} = \{(1, 2, 3), (1, 2), (3, 4), (2, 4), (1, 4)\}$ k = 2 (size of the hitting set)

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		$c_1$	$c_2$	с3	$c_4$	$d_1$	$d_2$			$c_1$	$c_2$	с3	$c_4$	$d_1$	$d_2$
$v_1$	:	1	1	1	0	1	0	$v_1$	:	1	1	1	0	0	1
$v_2$	:	1	1	0	0	1	0	$v_2$	:	1	1	0	0	0	1
<i>v</i> <sub>3</sub>	:	0	0	1	1	1	0	<i>v</i> <sub>3</sub>	:	0	0	1	1	0	1
$v_4$	:	0	1	0	1	1	0	$v_4$	:	0	1	0	1	0	1
V5	:	1	0	0	1	1	0	V5	:	1	0	0	1	0	1

#### Forward Direction:

- 'YES' instance of HS
  - $\implies$  at least 2, 2-sized winning committees
  - (one by candidates corresponding to Hitting Set and the other is trivial committee by dummy candidates)

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Hence RR=1.

If there is more than one winning committee in the constructed election, then there exists a hitting set of size at most k.

If RR = 1 for the constructed election, then there are at least two winning committees of size k.

 $\Downarrow$ 

If RR = 1 for the constructed election, the instance of HS on which the election is based is a YES-instance.

If there is more than one winning committee in the constructed election, then there exists a hitting set of size at most k.

If there is exactly one winning committee in the constructed election, then any other committee has a dissatisfaction score of at least two.

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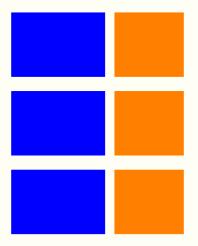
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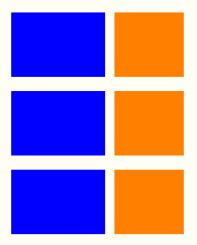
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 $c_1 \quad c_2 \quad \cdots \quad c_n \quad d_1 \quad \cdots \quad d_k$ 



Let W be another winning committee different from D.

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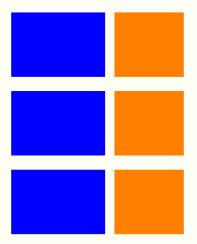
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Score(W) = Score(D)

i.e. every voter has a representative in  $\boldsymbol{W}$ 

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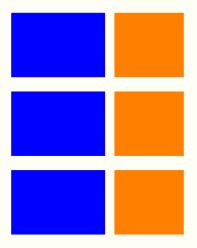
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W omits some candidate from D, say  $d_i$ .

Consider block corresponding to  $d_i$ .

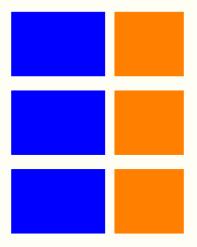
Here, every voter (set) is represented by a non-dummy candidate. Hence,  $W \setminus D$  corresponds to a hitting set.

 $c_1 \quad c_2 \quad \cdots \quad c_n$ 

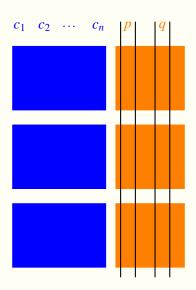


Suppose D is the only committee that represents every voter.

 $c_1 \quad c_2 \quad \cdots \quad c_n$ 



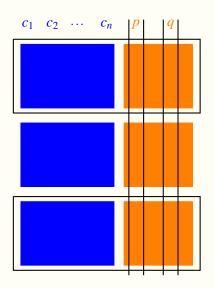
Suppose D is the only committee that represents every voter. Let W be any other committee s.t. |D| = |W|.



Suppose D is the only committee that represents every voter. Let W be any other committee s.t. |D| = |W|.

Suppose W omits two candidates from D, say p and q.

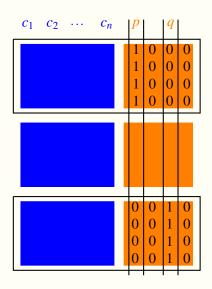
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Suppose D is the only committee that represents every voter. Let W be any other committee s.t. |D| = |W|.

Suppose W omits two candidates from D, say p and q. Consider the voter blocks corresponding to p and q.

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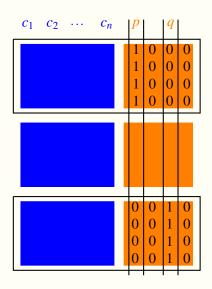


Suppose D is the only committee that represents every voter. Let W be any other committee s.t. |D| = |W|.

Suppose W omits two candidates from D, say p and q. Consider the voter blocks corresponding to p and q.

Since  $W \setminus D$  is not a hitting set<sup>\*</sup>, there is at least one voter in each block that is not represented by W in each block.

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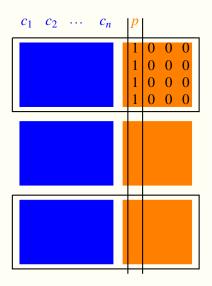


Suppose D is the only committee that represents every voter. Let W be any other committee s.t. |D| = |W|.

Suppose W omits two candidates from D, say p and q. Consider the voter blocks corresponding to p and q.

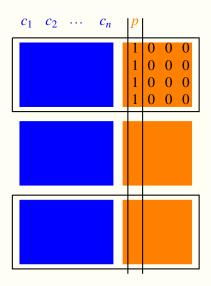
Since  $W \setminus D$  is not a hitting set<sup>\*</sup>, there is at least one voter in each block that is not represented by W in each block.

Hence the dissatisfaction of W is at least 2. (done)



Suppose D is the only committee that represents every voter. Let W be any other committee s.t. |D| = |W|.

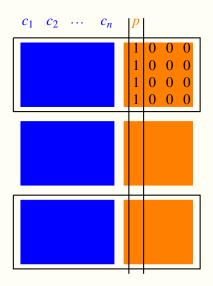
Suppose W omits one candidate from D, say p.



Suppose D is the only committee that represents every voter. Let W be any other committee s.t. |D| = |W|.

Suppose W omits one candidate from D, say p.

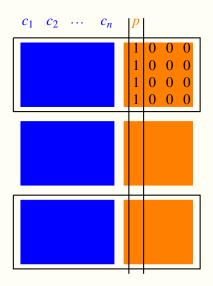
Consider the block corresponding to  $\boldsymbol{p}$ 



Suppose D is the only committee that represents every voter. Let W be any other committee s.t. |D| = |W|.

Suppose W omits one candidate from D, say p.

Since  $W \setminus D$  is not a hitting set<sup>\*</sup>, there is at least one voter that is not represented by W is p's block.

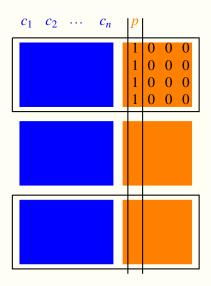


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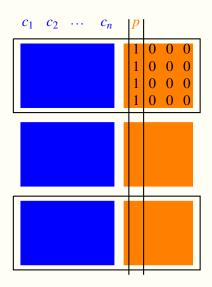


Suppose D is the only committee that represents every voter. Let W be any other committee s.t. |D| = |W|.

Suppose W omits one candidate from D, say p.

Since  $W \setminus D$  is not a hitting set<sup>\*</sup>, there are at least two voters that is not represented by W is p's block. (Sub-case (a))

Hence, dissatisfaction(W) > 1.

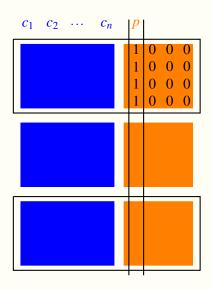


Suppose D is the only committee that represents every voter. Let W be any other committee s.t. |D| = |W|.

Suppose W omits one candidate from D, say p.

Since  $W \setminus D$  is not a hitting set\*, there is exactly one voter v that is not represented by W is p's block. (Sub-case (b))

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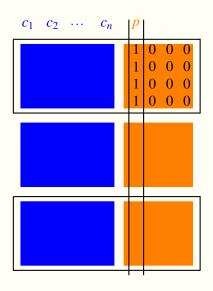


Suppose D is the only committee that represents every voter. Let W be any other committee s.t. |D| = |W|.

Suppose W omits one candidate from D, say p.

Since  $W \setminus D$  is not a hitting set\*, there is exactly one voter v that is not represented by W is p's block. (Sub-case (b))

 $W \setminus D$  combined with any element from the set corresponding to v, gives a hitting set of size at most k.



(Contradicts this assumption) Suppose D is the only committee that represents every voter. Let W be any other committee s.t. |D| = |W|.

Suppose D is the only committee that represents every voter.

Since  $W \setminus D$  is not a hitting set\*, there is exactly one voter v that is not represented by W is p's block.

 $W \setminus D$  combined with any element from the set corresponding to v, gives a hitting set of size at most k.

# Thank You !