

# Problems in Computational Social Choice on Restricted Domains

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**1** Computational Social Choice

**2** Restricted Domains

**3** Parameterized Complexity

# Computational Social Choice

## Introduction

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  - Boosted in 1980's. Aim is to find an efficient mechanism or show that it is unlikely to have such mechanism.

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  - Formalized study of aggregating individual preferences towards collective decision making
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- Three Pillars of COMSOC

Voting

Matching

Fair Division

# Example

Fair Division

We need to split the cake in 4!  
And I call dibs on the  
kiwis !



Monica

Can I have the strawberries ?  
I LOOOVE strawberries !



Rachel

I'd like the biscuit...  
Could it look more  
delicious ?



Chandler



NOOOO !  
I want the  
biscuit !



Joey

Steinhaus (1948) :

How do we fairly share the cake ?

# Restricted Domains

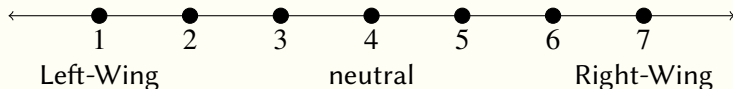
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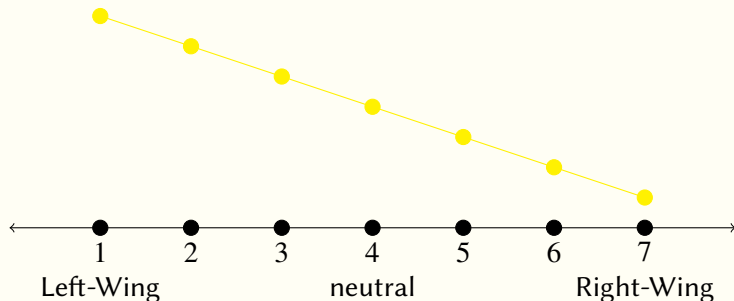




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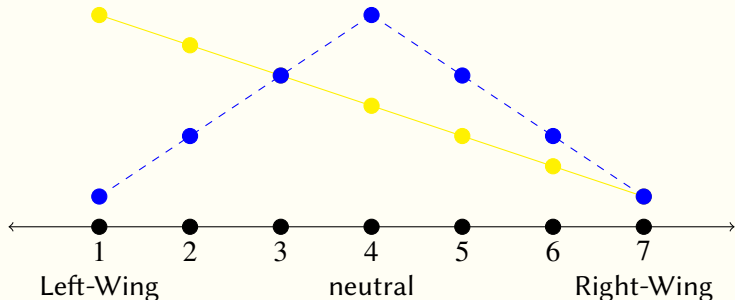
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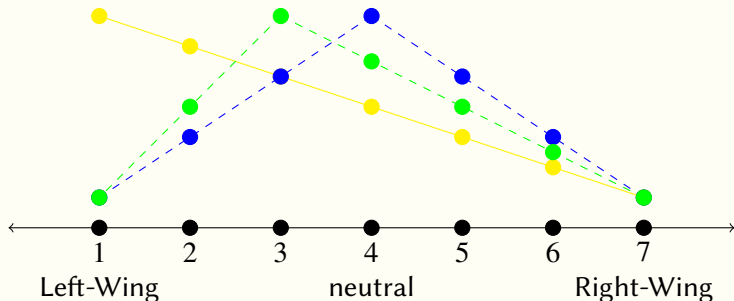
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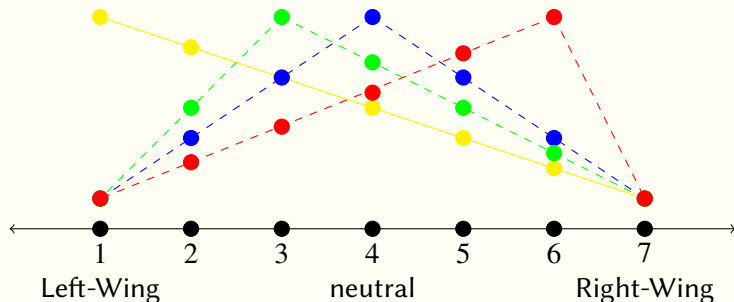
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# Restricted Domains

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# Restricted Domains

## Single-Crossing Domains


- Analogous notion of ordering over the voters


$a_1$ : 


$a_2$ : 

$a_3$ : 

$a_4$ : 

  $a_1: 1 \succ 2 \succ 3 \succ 4$

  $a_2: 2 \succ 3 \succ 4 \succ 1$

  $a_3: 3 \succ 2 \succ 4 \succ 1$


  $a_4: 3 \succ 4 \succ 2 \succ 1$

Figure: Single-Crossing Domain

# Restricted Domains

## 1D-Euclidean Preferences

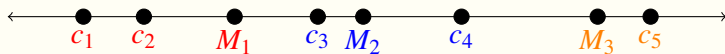


Figure: 1D-Euclidean Domain

## 1D-Euclidean Preferences

A profile  $\mathcal{P}$  is said to be 1D-Euclidean, if there exist a mapping  $X : V \rightarrow \mathbb{R}$  which maps every agent on a real line such that for any agent  $i$ ,  $x \succ_i y$  if and only if  $|d(i,x)| < |d(i,y)|$ .

# Restricted Domains

## Bounded Range

$$v_i := \dots \wedge c_i \wedge \dots$$


$$v_j := \dots \wedge c_i \wedge \dots$$



range of  $c_i$

# Restricted Domains

## Bounded Range

$$\begin{array}{l} v_i := \dots \succ c_i \succ \dots \\ v_j := \dots \qquad \qquad \qquad \succ c_i \succ \dots \end{array}$$


range of  $c_i$

- **Range** ( $c_i$ ) is the difference between best and worst position of  $c_i$  in the given profile.
- Preference lists of top hundred students in JEE.



# Known Results

- Bypass Arrow's impossibility theorem by considering mechanisms on restricted domains
- Shield from Manipulation <sup>1</sup>
- Efficient mechanisms for problems hard to solve on general domains <sup>2 3</sup>

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<sup>1</sup>Hervé Moulin. On strategy-proofness and single peakedness. *Public Choice*, pages 437–455, 198

<sup>2</sup>Nadja Betzler, Arkadii Slinko, and Johannes Uhlmann. On the Computation of Fully Proportional Representation. *J. Artif. Intell. Res.*, pages 475–519, 2013.

<sup>3</sup>Piotr Skowron, Lan Yu, Piotr Faliszewski, and Edith Elkind. The complexity of fully proportional representation for single-crossing electorates. *Theor. Comput. Sci.*, pages 43–57, 2015

# Parameterized Complexity

- Input of a parameterized problem is denoted as  $(I, k) \in \Sigma^* \times \mathbb{N}$  where  $I$  is input of a classical language and  $k$  is the parameter

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- XP  $\rightarrow \exists$  algorithm that decides in time  $\mathcal{O}(n^{f(k)})$

- 1 Introduction
  - Idea of Chamberlin-Courant voting
- 2 Chamberlin-Courant Winner on Almost Restricted Domains
  - Almost Structured Domains
    - Summary of Results
  - Hardness for 3-crossing Domains
- 3 Robustness Radius for CC on Restricted Domains
  - Robustness Radius
  - Related Work and Contributions
  - Results Summary
  - $W[2]$ -Hardness for Approval CC

# Multiwinner Elections

- Multiwinner elections are ubiquitous. Eg. Shortlisting candidates for a fixed number of fellowships, Movie selection on the airplane
- Fully proportional representation
- Popular Committee selection Rules – Chamberlin-Courant<sup>4</sup> and Monroe<sup>5</sup>.

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<sup>4</sup>John R Chamberlin and Paul N Courant. Representative deliberations and representative decisions: Proportional representation and the Borda rule. *American Political Science Review*, pages 718–733, 1983

<sup>5</sup>Burt L Monroe. Fully proportional representation. *American Political Science Review*, pages 925–940, 1995

# Example:

Finding a collection of movies to include in Airplane

$$n = 4, m = 5, k = 2$$



Figure: Ordinal Preferences










					
	0	1	0	1	1
	0	1	1	1	1
	0	0	1	1	0
	1	0	1	1	1

Figure: Dichotomous Preferences

Note: Figures are taken from [1]<sup>6</sup>

# Idea of Chamberlin-Courant voting

- Every voter should find a representative within the determined committee.
- This would make every voter happy.



# Known results

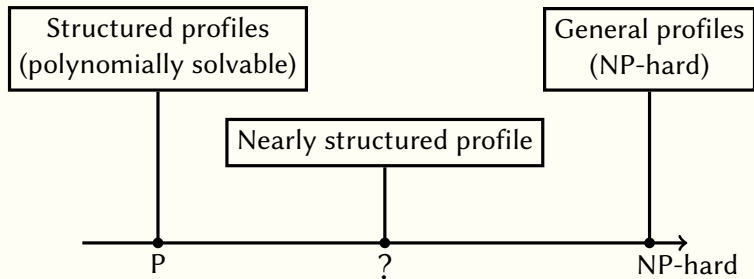


Figure: Chamberlin Courant Winner Determination

# Notion of Almost Structured Domains

- There are many ways to generalize the restricted domains <sup>7</sup>  
<sup>8</sup>. We consider following two ways.
- 1 **k-maverick domains** <sup>9</sup>:
  - *k-candidate deletion*
  - *k-voter deletion*

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<sup>7</sup>Salvador Barberá and Bernardo Moreno. Top monotonicity: A common root for single peakedness, single crossing and the median voter result. *Games and Economic Behavior*, pages 345–359, 2011

<sup>8</sup>Denis Cornaz, Lucie Galand, and Olivier Spanjaard. Bounded Single-Peaked Width and Proportional Representation. In *Proceedings of the 20th Euro-pean Conference on Artificial Intelligence (ECAI)*, *Frontiers in Artificial Intelligence and Applications*, pages 270–275, 2012.

<sup>9</sup>Robert Brederick, Jiehua Chen, and Gerhard J. Woeginger. Are there any nicely structured preference profiles nearby? *Mathematical Social Sciences*, pages 61–73, 2016

# k-candidate deletion

## Example

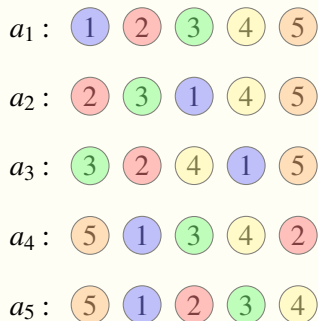
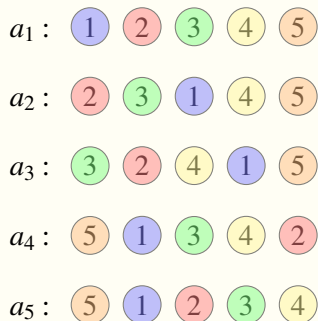


Figure: Original Profile

# k-candidate deletion

## Example




C-Del Set:=  1 2

Figure: Original Profile

# k-candidate deletion

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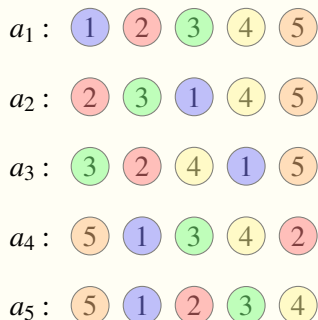


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C-Del Set:= 1 2

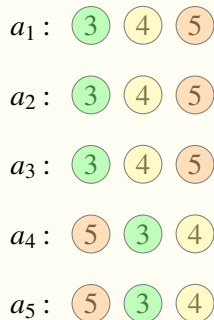


Figure: Single-Crossing Profile

# k-voter deletion

## Example

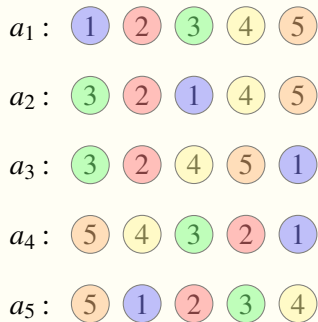
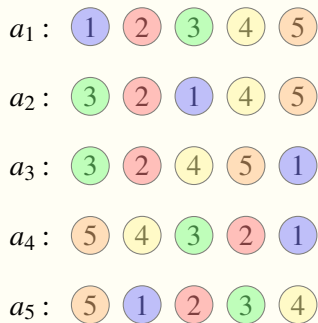


Figure: Original Profile

# k-voter deletion

## Example



V-Del Set :=  $a_5$

Figure: Original Profile

# k-voter deletion

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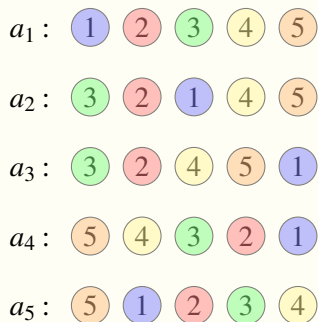


Figure: Original Profile

V-Del Set:=  $a_5$

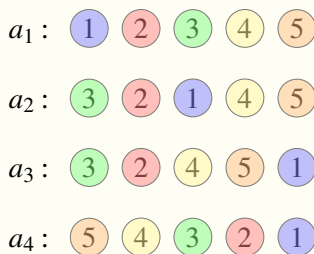


Figure: Single-Crossing Profile



# Notion of Almost Structured Domains

- There are many ways to generalize the restricted domains<sup>10 11</sup>. We consider following two ways.
- 1 **k-maverick domains**<sup>12</sup>:
  - *k-candidate deletion*
  - *k-voter deletion*
- 2 **Generalizations of Single-Peaked and Single-Crossing**:
  - *k-composite single-peaked domains*
  - *k-crossing domains*

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<sup>10</sup>Salvador Barberà and Bernardo Moreno. Top monotonicity: A common root for single peakedness, single crossing and the median voter result. *Games and Economic Behavior*, pages 345–359, 2011

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# Summary of Results

	SP CC	SP MM <sup>13</sup> CC	SC CC	SC MM CC
Struct <sup>14</sup>	$\mathcal{O}(nm^2)$	$\mathcal{O}(nm)$	$\mathcal{O}(n^2mk)$	$\mathcal{O}(n^2mk)$
VDel	$2^{Rk} \mathcal{O}(nm^2)$	$2^{Rk} \mathcal{O}(nm)$	$2^{Rk} \mathcal{O}(n^2mk)$	$2^{Rk} \mathcal{O}(n^2mk)$
CDel	$2^k \mathcal{O}(nm^2)$	$2^k \mathcal{O}(nm)$	$2^k \mathcal{O}(n^2mk)$	$2^k \mathcal{O}(n^2mk)$

**Table:** Summary of algorithms for CC-Winner Determination

## ■ NP-hardness results:

- 1 3-composite single-peaked domains
- 2 3-crossing domains

<sup>13</sup>MM: Minimax (egalitarian version)

<sup>14</sup>Denotes perfectly structured profiles

# Hardness for 3-crossing Domains

## Theorem

Computing an  $\ell_\infty$ -CC committee on 3-crossing profiles with  $R \leq 2$  is NP-complete.

Proof

## Robustness Radius for Chamberlin-Courant Rule

# Robustness Radius

- **Definition:** For multiwinner voting rule  $\mathcal{R}$ , and input  $E = (C, V)$ , a committee  $k$  and an integer  $r$ , we ask if it is possible to obtain an election  $E'$  by
  - making *at most  $r$  swaps of adjacent candidates* within rankings of  $E$ , or by
  - changing at most  $r$  bits for the case of approval ballots,s.t.  $\mathcal{R}(E, k) \neq \mathcal{R}(E', k)$ .

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  - YES  $\rightarrow RR \leq r$
  - NO  $\rightarrow RR > r$

- 'Robustness Among Multiwinner Voting Rules' [SAGT'18]  
<sup>15</sup> – First defined the concept of **RR**

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- We consider the exact algorithms for hard instances and ask the question on restricted domain for **CC** rule

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# Similar Notions

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- **MoV** (Margin of Victory) – It measures the number of voters to be changed rather than the number of swaps. Hence *MoV* is more powerful model than *RR*.
- **Swap Bribery** – Also cares about the outcome after the change in profile <sup>16</sup>.

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<sup>16</sup>Elkind, E., Faliszewski, P., & Slinko, A. (2009, October). Swap bribery. In International Symposium on Algorithmic Game Theory (pp. 299-310). Springer, Berlin, Heidelberg

# Results Summary

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Note: All results are parameterized by parameter  $k$ , the size of the committee.

- For general profiles:

- 1 **RR** is **W[2]**-hard for *Approval Chamberlin-Courant*.
- 2 **XP** algorithm for determining **RR** for *complete rankings/ approval ballots*.

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Note: All results are parameterized by parameter  $k$ , the size of the committee.

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  - 2 **XP** algorithm for determining **RR** for *complete rankings/ approval ballots*.
- On nearly restricted domains:
  - 1 **RR** is **NP**-hard for  $\ell_1 - CC$  even for *6-crossing* domains.
  - 2 **RR** is **NP**-hard for  $\ell_\infty - CC$  even for *4-crossing* domains.
  - 3 **RR** is **NP**-hard for  $\ell_\infty - CC$  even for *4-composite SP* domains.

# W[2]-Hardness for Approval CC

## Theorem

Computing Robustness Radius for of Chamberlin-Courant is W[2] – *hard* even for Dichotomous Preferences.

Proof



### 1 Stable Matching Problems on Restricted Domains

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  - 4 Sex-equal Stable Matching (SESM)
- We study these problems for structured preference domains with "degree of incompleteness" as a parameter

# Degree of Incompleteness

## Example

$$a_1 := a_2 \sim a_3 \sim a_4 \succ a_5$$

$$a_2 := a_3 \sim a_4 \succ a_1 \succ a_5$$

$$a_3 := a_2 \sim a_4 \succ a_1 \sim a_5$$

$$a_4 := a_1 \succ a_2 \succ a_3 \succ a_5$$

$$a_5 := a_4 \succ a_1 \succ a_2 \sim a_3$$

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- $\kappa_1 = 5$  (number of ties)
- $\kappa_2 = 3$  (maximum length of tie)
- $\kappa_3 = 7$  (total length of ties)

# Summary of results

For restricted domains

- Parameterized hardness with parameter  $\kappa_1$  (number of ties in the instance) for SP-SC domains:
  - 1 SESM (para-NP hard)
  - 2 Max-sized SMTI (W[1]-hard)
  - 3 Egalitarian SMTI (W[1]-hard)



# Summary of results

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- Parameterized hardness with parameter  $\kappa_1$  (number of ties in the instance) for SP-SC domains:
  - 1 SESM (para-NP hard)
  - 2 Max-sized SMTI (W[1]-hard)
  - 3 Egalitarian SMTI (W[1]-hard)
- Existence of a stable matching in SRTI is NP-complete even when  $\kappa_2$  is constant

# Manipulation in Stable Marriages

## ■ **Manipulation:**

As opposed to general profiles <sup>17</sup>, it is not always possible to obtain an optimal manipulation inconspicuously or otherwise when we restrict the preferences to structured domains

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<sup>17</sup>Vaish, R., Garg, D. (2017, August). Manipulating gale-shapley algorithm: preserving stability and remaining inconspicuous. In Proceedings of the Twenty-Sixth International Joint Conference on Artificial Intelligence, IJCAI-17 (pp. 437-443)

# Manipulation on SP-SC Domains

## Example

**SP axis:**  $m_1, m_2, m_3, m_4, m_5, w_1, w_2, w_3, w_4, w_5$

$m_4: 1 \succ 2 \succ 3 \succ 4 \succ 5$

$w_4: 5 \succ 4 \succ \underline{3} \succ 2 \succ 1$

$m_1: 2 \succ 1 \succ 3 \succ 4 \succ 5$

$w_5: 5 \succ 4 \succ 3 \succ 2 \succ \underline{1}$

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$w_2: 4 \succ 3 \succ 2 \succ \underline{5} \succ 1$

$m_2: 3 \succ 2 \succ 4 \succ 1 \succ 5$

$w_1: 3 \succ \underline{4} \succ 2 \succ 5 \succ 1$

$m_3: 3 \succ 4 \succ 2 \succ 1 \succ 5$

$w_3: \underline{2} \succ 3 \succ 1 \succ 4 \succ 5$

# Manipulation on SP-SC Domains

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Matching in original profile:

$(w_1, m_4), (w_2, m_5), (w_3, m_2), (w_4, m_3), (w_5, m_1)$

# Manipulation on SP-SC Domains

## Example

**SP axis:**  $m_1, m_2, m_3, m_4, m_5, w_1, w_2, w_3, w_4, w_5$

$m_4: 1 \succ 2 \succ 3 \succ 4 \succ 5$

$w_4: \underline{5} \succ 4 \succ 3 \succ 2 \succ 1$

$m_1: 2 \succ 1 \succ 3 \succ 4 \succ 5$

$w_5: 5 \succ 4 \succ 3 \succ 2 \succ \underline{1}$

$m_5: 2 \succ 1 \succ 3 \succ 4 \succ 5$

$w_2: \underline{4} \succ 3 \succ 2 \succ 5 \succ 1$

$m_2: 3 \succ 2 \succ 4 \succ 1 \succ 5$

$w_1: \underline{3} \succ 2 \succ \mathbf{1} \succ \mathbf{4} \succ 5$

$m_3: 3 \succ 4 \succ 2 \succ 1 \succ 5$

$w_3: \underline{2} \succ 3 \succ 1 \succ 4 \succ 5$

$w_1(True): w_1 : 3 \succ 4 \succ 2 \succ 1 \succ 5$

Matching with non-inconspicuous manipulation:

$(w_1, m_3), (w_2, m_4), (w_3, m_2), (w_4, m_5), (w_5, m_1)$

# Inconspicuous is not enough

**SP axis:**  $m_1, m_2, m_3, m_4, m_5, w_1, w_2, w_3, w_4, w_5$

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$w_1: 3 \succ \underline{4} \succ \underline{2} \succ 5 \succ \underline{1}$

$w_3: \underline{2} \succ 3 \succ 1 \succ 4 \succ 5$

- There does not exist any lucrative inconspicuous manipulation in this case



# Inconspicuous is not enough

**SP axis:**  $m_1, m_2, m_3, m_4, m_5, w_1, w_2, w_3, w_4, w_5$

$m_4: 1 \succ 2 \succ 3 \succ 4 \succ 5$

$m_1: 2 \succ 1 \succ 3 \succ 4 \succ 5$

$m_5: 2 \succ 1 \succ 3 \succ 4 \succ 5$

$m_2: 3 \succ 2 \succ 4 \succ 1 \succ 5$

$m_3: 3 \succ 4 \succ 2 \succ 1 \succ 5$

$w_4: 5 \succ 4 \succ \underline{3} \succ 2 \succ 1$

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- There does not exist any lucrative inconspicuous manipulation in this case
- Matching:  $(w_1, m_4), (w_2, m_5), (w_3, m_2), (w_4, m_3), (w_5, m_1)$
- We strengthen our observation by showing similar example even when we relax inconspicuousness.



# Manipulation in Stable Marriages

- **Manipulation:**

As opposed to general profiles <sup>18</sup>, it is not always possible to obtain an optimal manipulation inconspicuously or otherwise when we restrict the preferences to structured domains

- **Unique SM:** 1D-Euclidean preferences admit a unique stable matching

---

<sup>18</sup>Vaish, R., Garg, D. (2017, August). Manipulating gale-shapley algorithm: preserving stability and remaining inconspicuous. In Proceedings of the Twenty-Sixth International Joint Conference on Artificial Intelligence, IJCAI-17 (pp. 437-443)

- 1 Introduction
  - Problem Setup
  - Connected Fair Division
  - Fairness Notions
  - Efficiency Notions
- 2 Connected Envyfree Allocations
- 3 Connected Equitable Allocations
- 4 Conclusion

# Problem Setup

- We are given a set of  $[n] = \{1, 2, \dots, n\}$  agents, and an undirected graph  $G := (V, E)$  such that each  $v \in V$  corresponds to a good. Let  $|V| = m, \mathcal{C}(V) \subseteq 2^V$ .

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- Preferences of agent  $i \in [n]$  are specified with valuation function  $u_i : \mathcal{C}(V) \rightarrow \mathbb{N} \cup \{0\}$ .
- We consider binary valuations – For every agent  $i \in [n]$  and  $v \in V, u_i(v) \in \{0, 1\}$ .

# Connected Fair Division

## Motivation

- Sharing an access to high performance computer among researchers

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# Connected Fair Division

## Motivation

- Sharing an access to high performance computer among researchers
- Dividing a coast between countries
- Cake Division

- **Envyfreeness:** An allocation  $\mathcal{A} := \{A_1, A_2, \dots, A_n\}$  is *envyfree* if for all pair of agents  $i, j \in [n]$ ,  $u_i(A_i) \geq u_i(A_j)$ . For indivisible goods we relax this to EF1 which demands  $u_i(A_i) \geq u_j(A_j \setminus u)$  where  $u$  is a good from  $A_j$ .

# Fairness Notions

- **Envyfreeness:** An allocation  $\mathcal{A} := \{A_1, A_2, \dots, A_n\}$  is *envyfree* if for all pair of agents  $i, j \in [n]$ ,  $u_i(A_i) \geq u_i(A_j)$ . For indivisible goods we relax this to EF1 which demands  $u_i(A_i) \geq u_j(A_j \setminus u)$  where  $u$  is a good from  $A_j$ .
- **Equitability:** An allocation  $\mathcal{A} := \{A_1, A_2, \dots, A_n\}$  is *equitable* if for all pair of agents  $i, j \in [n]$ ,  $u_i(A_i) \geq u_j(A_j)$ . The notion of EQ1 is defined analogously.

- **Completeness:** All goods should be allocated

# Efficiency Notions

- **Completeness:** All goods should be allocated
- **Pareto Optimality (PO):** An allocation  $\mathcal{A}$  is PO if there does not exist  $\mathcal{A}'$  which gives every agent utility at least as much as  $\mathcal{A}$  and improves utility for at least one agent.

# Efficiency Notions

- **Completeness:** All goods should be allocated
- **Pareto Optimality (PO):** An allocation  $\mathcal{A}$  is PO if there does not exist  $\mathcal{A}'$  which gives every agent utility at least as much as  $\mathcal{A}$  and improves utility for at least one agent.
- **Non-Wastefulness:** Agents are allocated *only* the goods which they approve

# Connected Envyfree Allocations

## Summary of results

Restrictions ↓	Existence			Computation		
	EF1 + Comp	EF1 + PO	EF1 + NW	EF1 + Comp	EF1 + PO	EF1 + NW
Binary	Yes	No	No	?	NP-hard	NP-hard
Binary interval	Yes	No	No	?	?	?
Binary $k$ -interval	Yes	Yes	Yes	P	P	P
Binary extremal	Yes	Yes	Yes	P	P	P
Binary left-extremal	Yes	Yes	Yes	P	P	P

**Table:** Summary of results for CONNECTED FAIR DIVISION EF1





# Conclusion

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- Exploiting the structure of the domain is necessary to obtain efficient mechanisms.
- Restricted domains also provide a workaround from axiomatic results in social choice.
- In stable marriages, manipulation seems harder on restricted domains as opposed to the general domains.

Thank You !

# Hardness for 3-crossing profiles

## Definition of LSAT

We show a reduction from LSAT to computing an  $\ell_\infty$ -CC committee on 3-crossing profiles with  $R \leq 2$

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- Literals can be sorted such that every clause has consecutive literals
- Each clause can share at most one literal with another clause

LSAT is known to be NP-hard <sup>19</sup>

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Reduction | Construction

- Let  $\phi$  be the LSAT instance with variables  $x_1, \dots, x_n$  and clauses  $C_1, \dots, C_n$

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- Also introduce  $(n + 1)$  dummy candidates for each variable
- $d[i, j]$  denotes  $j^{\text{th}}$  dummy candidate for variable  $x_i$

# Hardness for 3-crossing profiles

Reduction | Example

LSAT ordering:  $x_1, \overline{x_2}, x_3, \overline{x_1}, x_4, x_2, \overline{x_4}, \overline{x_3}$

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Reduction | Example

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$$\underbrace{(x_1 \wedge \bar{x}_2 \wedge x_3)}_{C_1} \vee \underbrace{(x_3 \wedge \bar{x}_1 \wedge x_4)}_{C_2} \vee \underbrace{(x_2 \wedge \bar{x}_4 \wedge \bar{x}_3)}_{C_3}$$



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# Hardness for 3-crossing profiles

Reduction | Example

LSAT ordering:

$x_1$ ,  $\overline{x_2}$ ,  $x_3$ ,  $\overline{x_1}$ ,  $x_4$ ,  $x_2$ ,  $\overline{x_4}$ ,  $\overline{x_3}$

Candidate ordering:

$p_1$ ,  $q_2$ ,  $p_3$ ,  $q_1$ ,  $p_4$ ,  $p_2$ ,  $q_4$ ,  $q_3$ ,  $d[i,j]$

$$(x_1 \wedge \overline{x_2} \wedge x_3) \vee (x_3 \wedge \overline{x_1} \wedge x_4) \vee (x_2 \wedge \overline{x_4} \wedge \overline{x_3})$$

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Reduction | Example

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Candidate ordering:

$p_1, q_2, p_3, q_1, p_4, p_2, q_4, q_3, d[i,j]$

$$\begin{aligned} & (x_1 \wedge \bar{x}_2 \wedge x_3) \vee (x_3 \wedge \bar{x}_1 \wedge x_4) \vee (x_2 \wedge \bar{x}_4 \wedge \bar{x}_3) \\ & G_1 = p_1, q_2 \qquad G_2 = p_3, q_1, p_4 \qquad G_3 = p_2, q_4, q_3 \end{aligned}$$

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$$v_1: G_1 \succ G_2 \succ G_3 \succ D$$

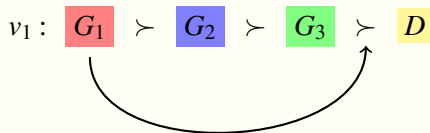
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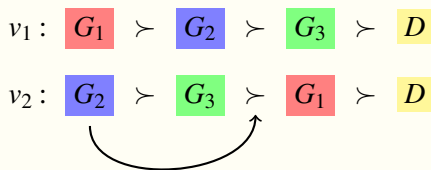
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$$v_i : G_i \dots G_m \succ G_{i-1} \dots G_1 \succ D$$

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## Lemma

A valid committee corresponds to a satisfying assignment when  $R \leq 2$

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## Lemma

A valid committee corresponds to a satisfying assignment when  $R \leq 2$

- Using dummy candidates we ensure that exactly one of  $p_i$  and  $q_i$  is in the committee
- Careful case analysis shows that resultant profile is 3-crossing

# $W[2]$ -hardness for RR for Approval CC

Construction

## Theorem

Checking if  $RR=1$  for Approval Chamberlin Courant is  $W[2]$  hard parameterized by size of committee ( $k$ ).

# $W[2]$ -hardness for RR for Approval CC

Construction

## Theorem

Checking if  $RR=1$  for Approval Chamberlin Courant is  $W[2]$  hard parameterized by size of committee ( $k$ ).

- Reduction Hitting Set instance.



# Hitting Set

## Definition

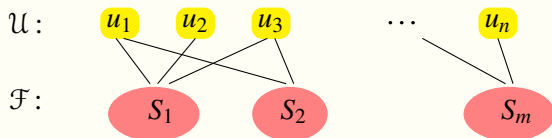
### Hitting Set Instance:

$\mathcal{U} :$     $u_1$     $u_2$     $u_3$     $\dots$     $u_n$

# Hitting Set

## Definition

### Hitting Set Instance:



$$\forall S_i \in \mathcal{F}; S_i \subseteq \mathcal{U}$$

Given  $k$ , does there exist  $S \subseteq U$  s.t.  $|S \cap S_i| \neq \emptyset$  &  $|S| \leq k$

# Hitting Set

## Example

### Hitting Set Instance:

$$\mathcal{U} = \{1, 2, 3, 4\}$$

$$\mathcal{F} = \{\{1, 2, 3\}, \{1, 2\}, \{3, 4\}, \{2, 4\}, \{1, 4\}\}$$

$$k = 1$$

NO instance

# Hitting Set

## Example

### Hitting Set Instance:

$$\mathcal{U} = \{1, 2, 3, 4\}$$

$$\mathcal{F} = \{\{1, 2, 3\}, \{1, 2\}, \{3, 4\}, \{2, 4\}, \{1, 4\}\}$$

$$k = 2$$

$$S = \{1, 2\}$$

### Hitting Set Instance:

$$\mathcal{U} = \{1, 2, 3, 4\}$$

$$\mathcal{F} = \{\{1, 2, 3\}, \{1, 2\}, \{3, 4\}, \{2, 4\}, \{1, 4\}\}$$

$$|\mathcal{U}| = n$$

$k = 2$  (size of the hitting set)

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$k = 2$  (size of the hitting set)

### RR Instance for Approval Voting Rule:

$k' = k$  (Committee size)

$$\mathcal{A} := \underbrace{\{c_1, c_2, \dots, c_n\}}_{\mathcal{U}} \cup \underbrace{\{d_1, d_2, \dots, d_k\}}_{\mathcal{D}}$$

## Hitting Set Instance:

$$\mathcal{U} = \{1, 2, 3, 4\}$$

$$\mathcal{F} = \{\{1, 2, 3\}, \{1, 2\}, \{3, 4\}, \{2, 4\}, \{1, 4\}\}$$

$$|\mathcal{U}| = n = 4$$

$k = 2$  (size of the hitting set)

## RR Instance for Approval Voting Rule:

$$k' = k = 2 \text{ (Committee size)}$$

$$\mathcal{A} := \underbrace{\{c_1, c_2, c_3, c_4\}}_{\mathcal{U}} \cup \underbrace{\{d_1, d_2\}}_{\mathcal{D}}$$

# Construction

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Voting profile:

	$c_1$	$c_2$	$c_3$	$c_4$	$d_1$	$d_2$	
$v_1$	:	1	1	1	0	1	0
$v_2$	:	1	1	0	0	1	0
$v_3$	:	0	0	1	1	1	0
$v_4$	:	0	1	0	1	1	0
$v_5$	:	1	0	0	1	1	0



# Construction

## Hitting Set Instance:

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	$c_1$	$c_2$	$c_3$	$c_4$	$d_1$	$d_2$
$v_1$	: 1	1	1	0	1	0
$v_2$	: 1	1	0	0	1	0
$v_3$	: 0	0	1	1	1	0
$v_4$	: 0	1	0	1	1	0
$v_5$	: 1	0	0	1	1	0

	$c_1$	$c_2$	$c_3$	$c_4$	$d_1$	$d_2$
$v_1$	: 1	1	1	0	0	1
$v_2$	: 1	1	0	0	0	1
$v_3$	: 0	0	1	1	0	1
$v_4$	: 0	1	0	1	0	1
$v_5$	: 1	0	0	1	0	1

# Equivalence of two instances:

- **Forward Direction:**

- 'YES' instance of **HS**

- ⇒ at least two 2-sized winning committees  
(one by candidates corresponding to Hitting Set of size  $\leq k$ ;  
the other one is a trivial committee by dummy candidates)

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this committee is now 1.
- Hence  $RR=1$ .

## Equivalence of two instances: Reverse Direction

If there is more than one winning committee in the constructed election, then there exists a hitting set of size at most  $k$ .

If  $RR = 1$  for the constructed election, then there are at least two winning committees of size  $k$ .



If  $RR = 1$  for the constructed election, the instance of HS on which the election is based is a YES-instance.

## Equivalence of two instances: Reverse Direction

If there is exactly one winning committee in the constructed election, then any other committee has a dissatisfaction score of at least two.

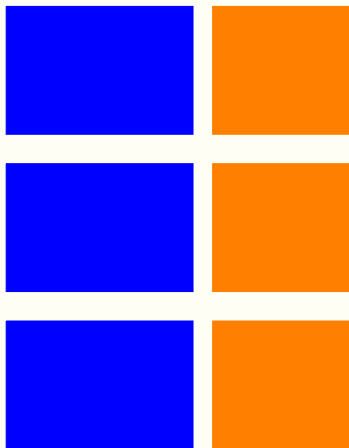


If  $RR = 1$  for the constructed election, the instance of HS on which the election is based is a YES-instance.

# Case I

More than one winning committee

$c_1$   $c_2$   $\dots$   $c_n$   $d_1$   $\dots$   $d_k$



Let  $W$  be another winning committee different from  $D$ .



# Case I

More than one winning committee

$c_1$   $c_2$   $\dots$   $c_n$   $d_1$   $\dots$   $d_k$



Let  $W$  be another winning committee different from  $D$ .

$$\text{Score}(W) = \text{Score}(D)$$

i.e. every voter has a representative in  $W$

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More than one winning committee

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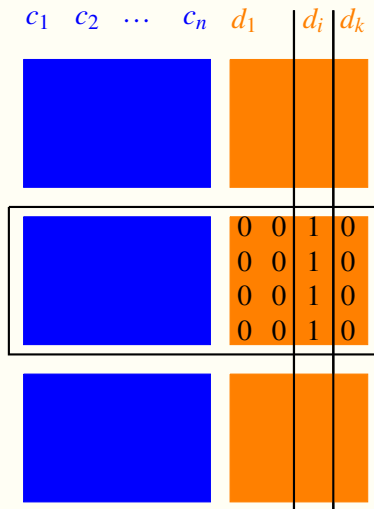
$$\text{Score}(W) = \text{Score}(D)$$

i.e. every voter has a representative in  $W$

$W$  omits some candidate from  $D$ , say  $d_i$ .

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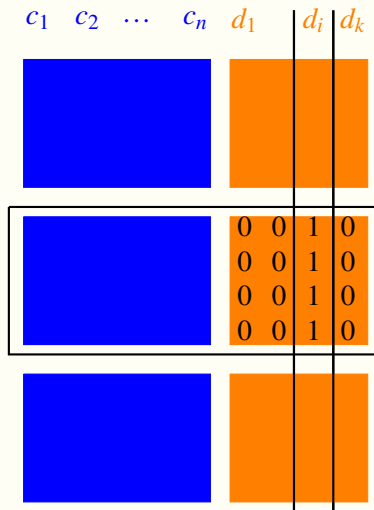
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Consider block corresponding to  $d_i$ .

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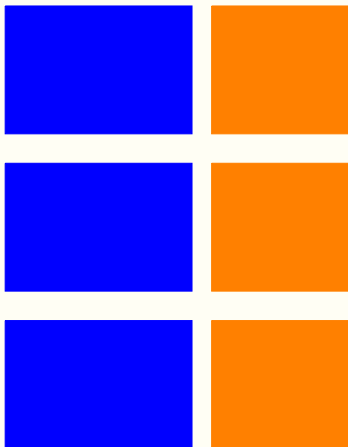
Here, every voter (set) is represented by a non-dummy candidate.

Hence,  $W \setminus D$  corresponds to a hitting set.

# Case II

Unique winning committee

$c_1$   $c_2$   $\dots$   $c_n$

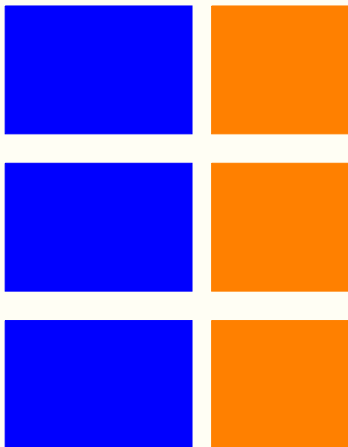


Suppose D is the only committee that represents every voter.

# Case II

## Unique winning committee

$c_1$   $c_2$   $\dots$   $c_n$

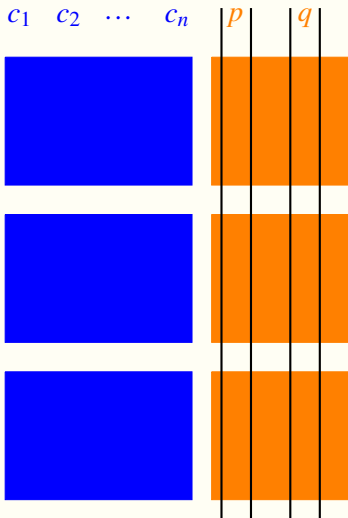


Suppose  $D$  is the only committee that represents every voter.

Let  $W$  be any other committee s.t.  $|D| = |W|$ .

# Case II

## Unique winning committee



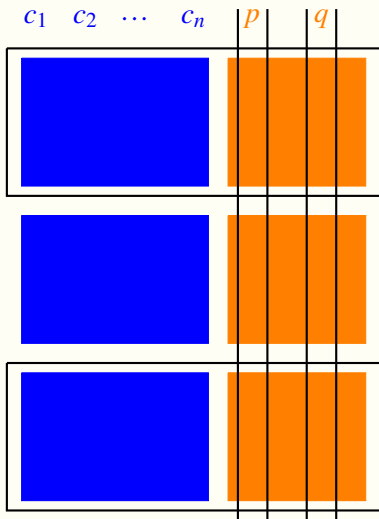
Suppose  $D$  is the only committee that represents every voter.

Let  $W$  be any other committee s.t.  $|D| = |W|$ .

Suppose  $W$  omits **two** candidates from  $D$ , say  $p$  and  $q$ .

# Case II

## Unique winning committee



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Let  $W$  be any other committee s.t.  $|D| = |W|$ .

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Consider the voter blocks corresponding to  $p$  and  $q$ .



# Case II

## Unique winning committee

$c_1$	$c_2$	$\dots$	$c_n$	$p$		$q$	
[Blue block]				1	0	0	0
				1	0	0	0
				1	0	0	0
				1	0	0	0
[Blue block]							
[Blue block]				0	0	1	0
				0	0	1	0
				0	0	1	0
				0	0	1	0

Suppose  $D$  is the only committee that represents every voter.

Let  $W$  be any other committee s.t.  $|D| = |W|$ .

Suppose  $W$  omits **two** candidates from  $D$ , say  $p$  and  $q$ .

Consider the voter blocks corresponding to  $p$  and  $q$ .

Since  $W \setminus D$  is not a hitting set\*, there is at least one voter in each block that is not represented by  $W$  in each block.

# Case II

## Unique winning committee

$c_1$	$c_2$	$\dots$	$c_n$	$p$		$q$	
[Blue block]				1	0	0	0
				1	0	0	0
				1	0	0	0
				1	0	0	0
[Blue block]							
[Blue block]				0	0	1	0
				0	0	1	0
				0	0	1	0
				0	0	1	0

Suppose  $D$  is the only committee that represents every voter.

Let  $W$  be any other committee s.t.  $|D| = |W|$ .

Suppose  $W$  omits **two** candidates from  $D$ , say  $p$  and  $q$ .





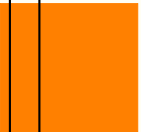
Consider the voter blocks corresponding to  $p$  and  $q$ .

Since  $W \setminus D$  is not a hitting set\*, there is at least one voter in each block that is not represented by  $W$  in each block.

Hence the dissatisfaction of  $W$  is at least 2. (done)

# Case II

Unique winning committee

$c_1$	$c_2$	$\dots$	$c_n$	$p$			
				1	0	0	0
				1	0	0	0
				1	0	0	0
				1	0	0	0
							
							





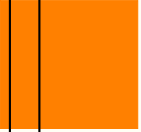
Suppose  $D$  is the only committee that represents every voter.

Let  $W$  be any other committee s.t.  $|D| = |W|$ .

Suppose  $W$  omits **one** candidate from  $D$ , say  $p$ .

# Case II

## Unique winning committee

$c_1$	$c_2$	$\dots$	$c_n$	$p$			
				1	0	0	0
				1	0	0	0
				1	0	0	0
				1	0	0	0
							
							

Suppose  $D$  is the only committee that represents every voter.

Let  $W$  be any other committee s.t.  $|D| = |W|$ .

Suppose  $W$  omits **one** candidate from  $D$ , say  $p$ .

Consider the block corresponding to  $p$

# Case II

Unique winning committee

$c_1$	$c_2$	$\dots$	$c_n$	$p$			
				1	0	0	0
				1	0	0	0
				1	0	0	0
				1	0	0	0

Suppose  $D$  is the only committee that represents every voter.






Let  $W$  be any other committee s.t.  $|D| = |W|$ .

Suppose  $W$  omits **one** candidate from  $D$ , say  $p$ .

Since  $W \setminus D$  is not a hitting set\*, there is at least one voter that is not represented by  $W$  in  $p$ 's block.

# Case II

Unique winning committee

$c_1$	$c_2$	$\dots$	$c_n$	$p$			
				1	0	0	0
				1	0	0	0
				1	0	0	0
				1	0	0	0
							
							

Suppose  $D$  is the only committee that represents every voter.





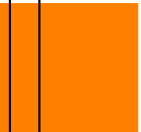
Let  $W$  be any other committee s.t.  $|D| = |W|$ .

Suppose  $W$  omits **one** candidate from  $D$ , say  $p$ .

Since  $W \setminus D$  is not a hitting set\*, there is **at least one voter** that is not represented by  $W$  in  $p$ 's block.

# Case II

## Unique winning committee

$c_1$	$c_2$	$\dots$	$c_n$	$p$			
				1	0	0	0
				1	0	0	0
				1	0	0	0
				1	0	0	0
							
							

Suppose  $D$  is the only committee that represents every voter.

Let  $W$  be any other committee s.t.  $|D| = |W|$ .




Suppose  $W$  omits **one** candidate from  $D$ , say  $p$ .

Since  $W \setminus D$  is not a hitting set\*, there are **at least two voters** that is not represented by  $W$  in  $p$ 's block. (Sub-case (a))

Hence,  $dissatisfaction(W) > 1$ .

# Case II

## Unique winning committee

$c_1$	$c_2$	$\dots$	$c_n$	$p$			
				1	0	0	0
				1	0	0	0
				1	0	0	0
				1	0	0	0
							

Suppose  $D$  is the only committee that represents every voter.

Let  $W$  be any other committee s.t.  $|D| = |W|$ .


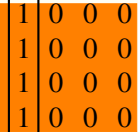




Suppose  $W$  omits **one** candidate from  $D$ , say  $p$ .

Since  $W \setminus D$  is not a hitting set\*, there is **exactly one voter**  $v$  that is not represented by  $W$  in  $p$ 's block. (Sub-case (b))



# Case II

## Unique winning committee

$c_1$	$c_2$	$\dots$	$c_n$	$p$				
				1	0	0	0	
				1	0	0	0	
				1	0	0	0	
				1	0	0	0	
								
								

Part-III

Suppose  $D$  is the only committee that represents every voter.

Let  $W$  be any other committee s.t.  $|D| = |W|$ .





Suppose  $W$  omits **one** candidate from  $D$ , say  $p$ .

Since  $W \setminus D$  is not a hitting set\*, there is **exactly one** voter  $v$  that is not represented by  $W$  in  $p$ 's block. (Sub-case (b))

$W \setminus D$  combined with any element from the set corresponding to  $v$ , gives a hitting set of size at most  $k$ .

# Case II

## Unique winning committee

$c_1$	$c_2$	$\dots$	$c_n$	$p$
				1 0 0 0
				1 0 0 0
				1 0 0 0
				1 0 0 0
				
				

(Contradicts this assumption)

Suppose  $D$  is the only committee that represents every voter.

Let  $W$  be any other committee s.t.  $|D| = |W|$ .

Suppose  $D$  is the only committee that represents every voter.

Since  $W \setminus D$  is not a hitting set\*, there is **exactly one voter  $v$**  that is not represented by  $W$  in  $p$ 's block.

$W \setminus D$  combined with any element from the set corresponding to  $v$ , gives a hitting set of size  $\leq k$ .